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PTO/SB/21 (03-03) Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE perwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 09/834,505 TRANSMITTAL Filing Date 13 April 2001 **FORM** First Named Inventor Shau-Lin Chen Art Unit (to be used for all correspondence after initial filing) 1754 **Examiner Name** Timothy Vanoy Attorney Docket Number 4424/4526 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication Fee Transmittal Form Drawing(s) to a Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC V Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) RECEIVED Response to Missing Parts/ Incomplete Application APR 16 2003 Response to Missing Parts under 37 CFR 1.52 or 1.53 TC 1700 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Richard R. Muccino Individual Signature Date 9 April 2003 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 9 April 2003 Typed or printed Richard R. Muccino Date 9 April 2003 Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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APR 15 2003 STEADEMENT IN

PATENT
Docket 4424/4526

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application Of:

Applicant:

Shau-Lin F. Chen

Serial No.:

09/834,505

Date Filed:

13 April 2001

Title:

LAYERED SOX TOLERANT NOX TRAP CATALYSTS AND

METHODS OF MAKING AND USING THE SAME

Art Unit:

1754

RECEIVED

Examiner:

Timothy Vanoy

APR 1 6 2003

TC 1700

Assistant Commissioner for Patents Washington, D.C. 20231

AMENDMENT AND RESPONSE PURSUANT TO 37 C.F.R. SECTION 1.115

Sir:

This Amendment and Response pursuant to 37 C.F.R. Section 1.115 is in response to the Examiner's Action dated 9 January 2003 in which claims 1, 2, 5, 7, 8, 9, 20, 21, 29, 34, 39-42, 48, 60, 62, 63, 106, and 109 are pending, 60, 62, and 63 were allowed, and 1, 2, 5, 7, 8, 9, 20, 21, 29, 34, 39-42, 48, 106, and 109 were rejected in connection with the above-identified patent application. A Response to this

CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. SECTION 1.8

I hereby certify that this correspondence, and any documents referred to as enclosed, are being deposited, pursuant to 37 C.F.R. Section 1.8, with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231 on this

Richard R. Muccino

date

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